PSA Penn Terminals is committed to protecting the integrity of our supply chain by observing the highest security standards within the industry. We currently participate in the Customs-Trade Partnership Against Terrorism (CTPAT) program and our goal is to meet and exceed the Minimum Security Criteria (MSC) established for Marine Terminal Operators. It is our priority to ensure that our customers partner with us and mirror these initiatives.

As a valued business partner of PSA Penn Terminals, we ask that you complete this questionnaire. To demonstrate your company’s commitment to supply chain security, please attach any relevant policies or procedures. If your company has a self-assessment document containing this information, please attach in lieu of this form with the pertinent contact information.

Click or tap to enter a date.

**General Business Information**

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| **Company Name** | Click or tap here to enter text. |
| **Company Address** | Click or tap here to enter text. |
| **Name / Title of Person completing Form** | Click or tap here to enter text. |
| **Phone #** | Click or tap here to enter text. |
| **Email Address** | Click or tap here to enter text. |
| **Business Type** | Click or tap here to enter text. |

**Security Programs Status**

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| Choose an item. | Is your company CTPAT certified?If so, please provide Status Verification Interface (VSI) number.  |
| Choose an item. | Is your company a member of an AEO or any other WCO accredited security program administered by your country’s customs authority? If so, please indicate which program and provide documented “active” status.  |
| **Remarks:** | Click or tap here to enter text. |

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| **NOTE: if you answered “YES” to either of the above questions (confirming your company’s status in CTPAT, AEO, or similar supply chain security program), you do not need to complete the remainder of this survey. If you answered “NO” or “NOT SURE”, please proceed to complete the following questionnaire and provide supporting documentation.**  |

**Non-CTPAT Business Partner Security Self-Assessment**

**I. Corporate Security**

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| Choose an item. | The company has a written Supply Chain Security program in place. |
| Choose an item. | A company official is assigned to manage all aspects of the Supply Chain Security program. If so, please provide name and phone number. Click or tap here to enter text. |
| Choose an item. | The company performs Risk Assessments of its supply chain to identify vulnerabilities. |
| Choose an item. | A written procedure is in place to address any issues found during the Risk Assessment. |
| Choose an item. | The company reviews security procedures and performs facility inspections to address crisis management, business continuity, recovery plans, and resumption initiatives.  |
| Choose an item. | The company has a written risk-based procedure for the screening/selection of potential business partners and for monitoring current partners.  |
| Choose an item. | Does the company manage warning indicators of money laundering and terror funding activities? |
| Choose an item. | The company has a comprehensive written IT/Cybersecurity policy to protect networks, computers, programs, and data from an IT breach.  |
| Choose an item. | IT software is installed to protect equipment from malware and other cybersecurity threats.  |
| Choose an item. | IT/Cybersecurity policies are reviewed and updated as necessary. |
| Choose an item. | The company’s computer systems require individual user accounts and passwords. |
| Choose an item. | The computer systems require regular password changes.  |
| Choose an item. | The network is routinely tested for vulnerabilities; updates and corrective actions are implemented as required. |
| Choose an item. | The IT Department monitors IT systems for unauthorized access, tampering/altering business data. |
| Choose an item. | IT policy violations are investigated and disciplinary actions are administered as required.  |
| Choose an item. | Data is backed-up on a regular basis and sensitive confidential data is stored in an encrypted format.  |
| Choose an item. | Media, hardware, and IT equipment containing confidential data are inventoried at regular intervals.  |
| Choose an item. | IT equipment is destroyed after being sanitized as per industry guidelines.  |
| Choose an item. | User access is restricted based on job duties and employment status.  |
| **Remarks:** | Click or tap here to enter text. |

**II. Transportation Security**

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| Choose an item. | Empty and full containers are stored in a secure area of controlled access to prevent unauthorized access and/or manipulation.  |
| Choose an item. | There is a documented process (checklist) to inspect containers prior to stuffing.  |
| Choose an item. | The company has a procedure in place to notify the appropriate authorities in case any illegal or suspicious activities are detected.  |
| Choose an item. | The company has a written high security seal procedure for issuance and control of inventory in accordance with the CTPAT VVTT standard.  |
| Choose an item. | Company seals are certified high-security and meet or exceed ISO 17712 standards.  |
| Choose an item. | The company maintains all seals in a locked and secured area; performs an inventory of seals regularly; and audits by reconciling seal inventory against seal logs and shipping documents.  |
| Choose an item. | Detailed procedures are in place to ensure that all cargo information is legible, accurate, complete, and verified to protect against the improper exchange or loss of information.  |
| Choose an item. | All cargo is properly marked and verified against shipping documents.  |
| Choose an item. | Personnel assigned to handling shipping documents are trained in how to identify suspicious shipments within the cargo documentation/ manifest.  |
| Choose an item. | When discrepancies are detected, they are immediately investigated and reported to the appropriate authorities.  |
| Choose an item. | The company has a procedure to identify, challenge, and address unauthorized persons who gain or attempt to gain access to a controlled cargo facility.  |
| Choose an item. | The company has written procedures for agricultural inspections.  |
| Choose an item. | Security personnel are trained in how to identify hidden compartments.  |
| Choose an item. | There is a procedure in place to prevent visible pest contamination, including the compliance of the Wood Packaging Materials (WPM) regulations. These measures meet the International Plant Protection Convention’s (IPPC) Standards for Phytosanitary Measures No. 15 (ISPM 15). |
| **Remarks:** | Click or tap here to enter text. |

**III. Personnel and Physical Security**

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| Choose an item. | Buildings and facilities, container / trailer yards, and warehouses are properly secured, manned and monitored with barriers to prevent unauthorized access.  |
| Choose an item. | Perimeter fencing surrounds cargo handling and storage areas.  |
| Choose an item. | Fencing is inspected regularly and repaired immediately if damaged.  |
| Choose an item. | Additional fencing is used to secure and segregate different cargo types, such as: domestic, international, high value, and hazardous materials.  |
| Choose an item. | Designated parking for employees and visitors is separated from cargo handling and storage facilities.  |
| Choose an item. | Adequate lighting is present at entrances and exits, cargo storage and handling areas, and at fence lines and parking areas.  |
| Choose an item. | Security systems are professionally installed and maintained that are used to monitor cargo and data storage areas, and to deter and detect unauthorized access.  |
| Choose an item. | An alternative power source is configured to allow systems to continue to operate in the event of a power failure.  |
| Choose an item. | An identification system provides employees with and ID badge for granting access to controlled facilities.  |
| Choose an item. | Employee access to Restricted Areas is based on job duties and responsibilities.  |
| Choose an item. | Visitors must present a valid government ID and are escorted while on premises.  |
| Choose an item. | A visitor log is used to record details of entry/exit, and nature of visit.  |
| Choose an item. | Vendors and service providers must present a valid government ID and are provided a temporary facility ID while on premises.  |
| Choose an item. | Drivers delivering or picking-up cargo are received by appointment and are required to provide government photo ID before access is granted.  |
| Choose an item. | A screening process is in place as per applicable country labor laws for new hires.  |
| Choose an item. | Post-hire checks are performed on employees holding sensitive positions.  |
| Choose an item. | A written code of conduct is established to set clear expectations of acceptable behaviors.  |
| Choose an item. | New Employee Orientation is provided to cover working conditions and Safety and Security standards in place.  |
| Choose an item. | Personnel in sensitive positions as well as Security personnel receive specialized training based on their designated areas, such as vessel and cargo management, and hazardous areas or materials.  |
| Choose an item. | Refresher training is conducted after a security incident or breach occurs, or when there are changes to a company policy or procedure.  |
| **Remarks:** | Click or tap here to enter text. |

**Company Official Acknowledgement**

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| **I,** Click or tap here to enter text. **, as a company official, herby certify that the information in this document and any attachments is true, complete, and accurate to the best of my knowledge. I understand my responsibility to inform PSA Penn Terminals of any future changes that might affect the validity and accuracy of this document.** (Provide a digital signature with date) |